

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. APPM/5765	Serial No. 10/026,854
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Li, et al.	Confirmation No.: Unknown
(Use several sheets if necessary)		Filing Date December 20, 2001	Group 1765
Examiner <i>Unknown</i>			

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
<i>AS</i>	A1	4,793,895	12/27/1988	Kaanta, et al.	156	627	01/25/1988
<i>AS</i>	A2	4,934,102	06/19/1990	Leach, et al.	51	50 R	10/04/1988
<i>AS</i>	A3	5,217,586	06/08/1993	Datta, et al.	204	129.6	01/09/1992
<i>AS</i>	A4	5,225,034	07/06/1993	Yu, et al.	156	636	06/04/1992
<i>AS</i>	A5	5,534,106	07/09/1996	Cote, et al.	156	636.1	07/26/1994
<i>AS</i>	A6	5,543,032	08/06/1996	Datta, et al.	205	670	06/02/1995
<i>AS</i>	A7	5,567,300	10/22/1996	Datta, et al.	205	652	09/02/1994
<i>AS</i>	A8	5,575,706	11/19/1996	Tsai, et al.	451	41	01/11/1996
<i>AS</i>	A9	5,804,507	09/08/1998	Perlov, et al.	438	692	10/27/1995
<i>AS</i>	A10	5,807,165	09/15/1998	Uzoh, et al.	451	41	03/26/1997
<i>AS</i>	A11	5,846,882	12/08/1998	Birang	438	692	10/03/1996
<i>AS</i>	A12	5,871,392	02/16/1999	Meikle, et al.	451	56	06/13/1996
<i>AS</i>	A13	5,911,619	06/15/1999	Uzoh, et al.	451	5	03/26/1997
<i>AS</i>	A14	5,951,380	09/14/1999	Kim	451	65	12/19/1997

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
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<i>AS</i>	B1	P2001-077,117	03/23/2001	JP	H01L	21/3205	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>AS</i>	B2	98/49723	11/05/1998	WO	H01L	21/321	<input type="checkbox"/>	<input type="checkbox"/>
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OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
<i>AS</i>	C1	Nogami, T. "An Innovation to Integrate Porous Low-K Materials and Copper", Interconnect Japan 2001, Honeywell Seminar, December 6, 2001, pp. 1-12

Examiner *Adini J. Grant* Date Considered *3/11/04*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		APPM/5765	10/026,854
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant	Confirmation No.:
		Li, et al.	Unknown
(Use several sheets if necessary)		Filing Date	Group
Examiner <i>Unknown</i>		December 20, 2001	1765

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
<i>AS</i>	A15	5,944,583	11/20/1999	Cruz	451	41	03/17/1997
<i>AS</i>	A16	6,019,666	02/01/2000	Roberts, et al.	451	36	05/08/1998
<i>AS</i>	A17	6,024,630	02/15/2000	Shendon, et al.	451	41	06/09/1995
<i>AS</i>	A18	6,033,293	03/07/2000	Crevasse, et al.	451	494	10/08/1997
<i>AS</i>	A19	6,036,586	03/14/2000	Ward	451	287	07/29/1998
<i>AS</i>	A20	6,066,030	05/23/2000	Uzoh	451	41	03/04/1999
<i>AS</i>	A21	6,103,096	08/15/2000	Datta, et al.	205	686	11/12/1997
<i>AS</i>	A22	6,176,992	01/23/2001	Talieh	205	87	12/01/1998
<i>AS</i>	A23	6,234,870	05/22/2001	Uzoh, et al.	451	8	08/24/1999
<i>AS</i>	A24	6,248,222	06/19/2001	Wang	204	297.09	09/07/1999
<i>AS</i>	A25	6,258,223	07/10/2002	Cheung, et al.	204	242	07/09/1999
<i>AS</i>	A26	6,351,422	02/26/2002	Rohr, et al.	365	203	03/28/2001
<i>AS</i>	A27	2001/0024878	09/27/2001	Nakamura	438	691	03/26/2001
<i>AS</i>	A28	2001/0042690	11/22/2001	Talieh	205	118	12/14/2000

Foreign Patent Documents

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Examiner

Chun J. Zhang

Date Considered

3/11/04

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U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		AMAT/5765/CMP/CMP/RKK	10/026,854
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Li, et al.	Confirmation No. 1816
(Use several sheets if necessary)		Filing Date	Group
Examiner Unknown		December 20, 2001	1765

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
<i>AS</i>	A1	6,159,088	12/12/2000	Nakajima	451	527	01/29/1999
<i>AS</i>	A2	5,990,012	11/23/1999	Robinson, et al.	438	692	01/27/1998
<i>AS</i>	A3	5,899,799	05/04/1999	Tjaden, et al.	451	287	01/19/1996
<i>AS</i>	A4	5,527,215	06/18/1996	Rubino, et al.	451	527	06/10/1992
	A5						
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	A7						
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	A10						
	A11						
	A12						
	A13						

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
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	B2	11216663	08/10/1999	JP (English Abstract)	B24B	37/00	<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	B4						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	European Search Report for Application No. 00311062.4 dated 08/22/2003 (AMAT/3778EP)
	C2	

Examiner

Alvin J. Grant

Date Considered

3/6/04

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(Use several sheets if necessary)		Filing Date December 20, 2001	Group 1765 APP
Examiner Unknown			

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<i>AL</i>	A1	5,136,817	08/11/1992	Tabata, et al.	51	165.71	02/28/1991
<i>AL</i>	A2	5,624,300	04/29/1997	Kishii, et al.	451	36	07/10/1996
<i>AL</i>	A3	6,020,264	02/01/2000	Lustig, et al.	438	692	01/31/1997
<i>AL</i>	A4	6,056,851	05/02/2000	Hsieh, et al.	156	345	08/14/1998
<i>AL</i>	A5	6,171,467	01/09/2001	Weihs, et al.	205	93	11/24/1998
<i>AL</i>	A6	6,297,159	10/02/2001	Paton	438	693	07/07/1999
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						

Foreign Patent Documents

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							YES	NO
<i>BS</i>	B1	0 325 753	12/13/1988	EP	H01L	21/00	<input type="checkbox"/>	<input type="checkbox"/>
<i>BS</i>	B2	0 455 455	04/29/1991	EP	B23Q	15/007	<input type="checkbox"/>	<input type="checkbox"/>
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<i>BS</i>	B5	02 064314	08/22/2002	WO	B24B	37/04	<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
<i>BS</i>	C1	International Search Report for PCT/US 02/11009 dated February 6, 2003
	C2	
	C3	

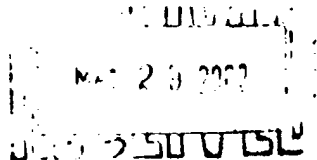
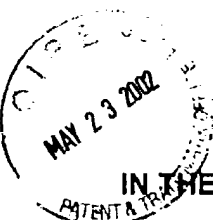
Examiner

Chen J. Grant

Date Considered

3/6/04

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1765

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Li, et al.

Serial No.: 10/026,854

Confirmation No.: Unknown

Filed: December 20, 2001

For: Articles for Polishing
Semiconductor Substrates

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Group Art Unit: Unknown

Examiner: Unknown

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING 37 CFR 1.8	
I hereby certify that this correspondence is being deposited on May <u>23</u> , 2002 with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
<u>5/20/02</u> Date	<u>[Signature]</u> Signature

INFORMATION DISCLOSURE STATEMENT


The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached
Form PTO-1449.

Respectfully submitted,



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Attorney for Applicant(s)

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. APPM/5765	Serial No. 10/026,854
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Li, et al.	Confirmation No.: Unknown
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Examiner			

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AS	A4	5,225,034	07/06/1993	Yu, et al.	156	636	06/04/1992
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AS	A6	5,543,032	08/06/1996	Datta, et al.	205	670	06/02/1995
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AS	A8	5,575,706	11/19/1996	Tsai, et al.	451	41	01/11/1996
AS	A9	5,804,507	09/08/1998	Perlov, et al.	438	692	10/27/1995
AS	A10	5,807,165	09/15/1998	Uzoh, et al.	451	41	03/26/1997
AS	A11	5,846,882	12/08/1998	Birang	438	692	10/03/1996
AS	A12	5,871,392	02/16/1999	Meikle, et al.	451	56	06/13/1996
AS	A13	5,911,619	06/15/1999	Uzoh, et al.	451	5	03/26/1997
AS	A14	5,951,380	09/14/1999	Kim	451	65	12/19/1997

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
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AS	B1	P2001-077,117	03/23/2001	JP	H01L	21/3205	<input checked="" type="checkbox"/>	<input type="checkbox"/>
AS	B2	98/49723	11/05/1998	WO	H01L	21/321	<input type="checkbox"/>	<input type="checkbox"/>
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OTHER ART

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AS	C1	Nogami, T. "An Innovation to Integrate Porous Low-K Materials and Copper", Interconnect Japan 2001, Honeywell Seminar, December 6, 2001, pp. 1-12

Examiner *Alvin J. Grant* Date Considered *3/11/02*

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<i>AS</i>	A25	6,258,223	07/10/2002	Cheung, et al.	204	242	07/09/1999
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OTHER ART

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Examiner *Ali J. J. J.* Date Considered *3/11/04*

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11/20/03

11/20/03

PATENT
Attv. Dkt. AMAT/5765/CMP/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Li, et al.

Serial No.: 10/026,854

Confirmation No.: 1816

Filed: December 20, 2001

For: Articles for Polishing
Semiconductor Substrates

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Group Art Unit: 1765

Examiner: Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING 37 CFR 1.8	
I hereby certify that this correspondence is being deposited on October 2, 2003 with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
<u>10/02/03</u> Date	<u>B. E.</u> Signature

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

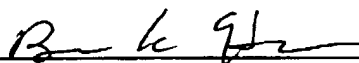
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The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

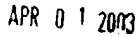
Applicants certify that all references submitted with this disclosure were first cited in a communication from a foreign patent office dated August 22, 2003, which communication is enclosed, not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/AMAT/5765/BKH.

Respectfully submitted,



Brian K. Hrma
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Attorney for Applicant(s)



PATENT
CMP/RKK

2020年12月25日

Examiner: Unknown

Confirmation No.: 1816

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Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.8

I hereby certify that this correspondence is being deposited on March 27, 2003 with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

03/27/03
Date

B
Signature

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

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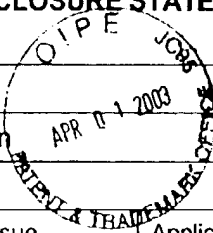
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Brian K. Hrna
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Attorney for Applicant(s)

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	A7							
	A8							
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	A10							
	A11							
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	A13							
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							YES	NO
<i>AL</i>	B1	0 325 753	12/13/1988	EP	H01L	21/00	<input type="checkbox"/>	<input type="checkbox"/>
<i>AL</i>	B2	0 455 455	04/29/1991	EP	B23Q	15/007	<input type="checkbox"/>	<input type="checkbox"/>
<i>AL</i>	B3	11042554	02/16/1999	JP	B24B	37/04	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<i>AL</i>	B4	01 49452	07/27/2001	WO	B24B	37/04	<input type="checkbox"/>	<input type="checkbox"/>
<i>AL</i>	B5	02 064314	08/22/2002	WO	B24B	37/04	<input type="checkbox"/>	<input type="checkbox"/>
OTHER ART								
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.						
<i>AL</i>	C1	International Search Report for PCT/US 02/11009 dated February 6, 2003						
	C2							
	C3							
Examiner <i>Alvin J. Grant</i>				Date Considered <i>3/6/04</i>				
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U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		AMAT/5765/CMP/CMP/RKK	10/026,854
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Li, et al.	Confirmation No. 1816
(Use several sheets if necessary)		Filing Date	Group
Examiner Unknown		December 20, 2001	1765

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
<i>AS</i>	A1	6,159,088	12/12/2000	Nakajima	451	527	01/29/1999
<i>AS</i>	A2	5,990,012	11/23/1999	Robinson, et al.	438	692	01/27/1998
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<i>AS</i>	A4	5,527,215	06/18/1996	Rubino, et al.	451	527	06/10/1992
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						

Foreign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1	06047678	02/22/1994	JP (English Abstract)	B24D	11/06	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2	11216663	08/10/1999	JP (English Abstract)	B24B	37/00	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B3	00/71297	11/30/2000	WO	B24B	37/04	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	European Search Report for Application No. 00311062.4 dated 08/22/2003 (AMAT/3778EP)
	C2	

Examiner

Date Considered 3/6/04

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